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Atty. Dkt. No. 035905-0118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Igor G. KOUZNETSOV et al.
Title: TWO MASK FLOATING GATE EEPROM AND
METHOD OF MAKING
Appl. No.: 10/066,376
Filing Date: 02/05/2002
Examiner: Long Pham
Art Unit: 2814
Conf. No.: 3915

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.



TIMING OF THE DISCLOSURE

The listed documents are being submitted after the mailing date of a Notice of Allowance under 37 C.F.R. §1.113. Pursuant to 37 C.F.R. §1.97(i); applicants respectfully request that the submitted information be placed in the application file.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English. The listed documents C1 to C7 were cited by the examiner in an office action in U.S. application serial no. 09/983,988, while references C8 to C10 were cited in U.S. application serial no. 09/927,948. Both applications are part of the same U.S. patent family as the present application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although no fees are believed due, Applicants hereby authorize the Commissioner to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 10/28/04

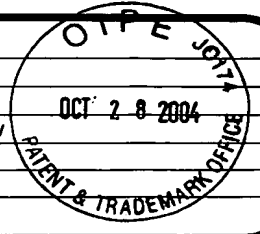
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: October 28, 2004 <i>(use as many sheets as necessary)</i>				Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/066,376</td> </tr> <tr> <td>Filing Date</td> <td>02/05/2002</td> </tr> <tr> <td>First Named Inventor</td> <td>Igor G. Kouznetsov</td> </tr> <tr> <td>Group Art Unit</td> <td>2814</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>035905-0118</td> </tr> </table>		Application Number	10/066,376	Filing Date	02/05/2002	First Named Inventor	Igor G. Kouznetsov	Group Art Unit	2814	Examiner Name	Unassigned	Attorney Docket Number	035905-0118
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Sheet	1	of	1														



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	C1	4,729,005		Wei et al.	03-01-1988	
	C2	5,091,762		Watanabe	02-25-1992	
	C3	5,930,608		Yamazaki et al.	07-27-1999	
	C4	5,981,974		Makita	11-09-1999	
	C5	6,107,666		Chang	08-22-2000	
	C6	6,114,767		Nagai et al.	09-05-2000	
	C7	6,653,733	B1	Gonzalez et al.	11-25-2003	
	C8	5,889,302		Liu	03-30-1999	
	C9	5,936,280		Liu	08-10-1999	
	C10	6,627,518		Inoue et al.	09-30-2003	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.